

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

HARRINGTON GLOBAL OPPORTUNITY
FUND, LIMITED,

Plaintiff,

-against-

CIBC WORLD MARKETS COPR., CIBC
WORLD MARKETS INC., BANK OF
AMERICA SECURITIES, INC., MERRILL
LYNCH CANADA INC., MERRILL LYNCH
PROFESSIONAL CLEARING CORP., TD
SECURITIES, INC., TD SECURITIES(USA)
LLC, CORMARK SECURITIES, INC., UBS
FINANCIAL SERVICES, INC., UBS
SECURITIES CANADA, INC., SOCIETE
GENERALE CAPITALE CANADA, INC.,
SG AMERICAS SECURITIES, LLC, AND
JOHN DOES 1 THROUGH 10,

Defendants.

Case No. 1:21-cv-00761 (LGS)

**NOTICE OF
APPEARANCE**

To the Clerk of this Court and all parties of record:

PLEASE TAKE NOTICE that ALEESHA FOWLER, an attorney admitted to practice in this Court, hereby appears as counsel for UBS Securities Canada, Inc. and UBS Financial Services, Inc. in the above-captioned action and requests that copies of all papers relating to this action be served upon the undersigned.

Dated: March 23, 2021

BAKER & MCKENZIE LLP

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and UBS Financial Services, Inc.*